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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
10/532,317	05/12/2006	Nghi Nguyen	262808US0PCT	9978	
OBLON SPIX	7590 09/23/200 /AK, MCCLELLAND	EXAM	EXAMINER		
1940 DUKE STREET			VENKAT, JYOTHSNA A		
ALEXANDRI	A, VA 22314	ART UNIT	PAPER NUMBER		
			1619		
			NOTIFICATION DATE	DELIVERY MODE	
			09/23/2009	ELECTRONIC	

# Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

patentdocket@oblon.com oblonpat@oblon.com jgardner@oblon.com

# Application No. 10/532,317 NGUYEN ET AL. Office Action Summary Examiner Art Unit

Applicant(s)

		JYOTHSNA A. VENKAT	1619				
The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply							
A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 1 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MALLING DATE OF THIS COMMUNICATION.  Edmonsor of time may be available under the provisions of 37 CPt 11 38(a). In no event, however, may a reply be timely field after SIX (6) MONTHS from the mailing date of this communication.  If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  Failure to reply within the set or extended period for reply well by statute, cause the application to become APANONED (SS U.S.C. § 13S).  Failure to reply within the set or extended period for reply well by statute, cause the application to become APANONED (SS U.S.C. § 13S).  Failure to reply within the set or extended period for reply well by statute, cause the application to become APANONED (SS U.S.C. § 13S).  Failure to reply within the set or extended period for reply well by statute, cause the application to become APANONED (SS U.S.C. § 13S).  Failure to reply within the set or extended period for reply well reply apply and the capture statute.							
Status							
2a)□ T 3)□ S	Responsive to communication(s) filed on 22 A <sub>I</sub> This action is <b>FINAL</b> . 2b) This Since this application is in condition for allowar closed in accordance with the practice under E	action is non-final. ace except for formal matters, pro		e merits is			
Disposition of Claims							
4)   C 44 5)   C 6)   C	laim(s) 21-44 is/are pending in the application a) Of the above claim(s) is/are withdrav laim(s) is/are allowed. claim(s) is/are rejected. laim(s) is/are objected to. claim(s) 21-44 are subject to restriction and/or	vn from consideration.					
Applicatio	n Papers						
9) ☐ The specification is objected to by the Examiner.  10) ☐ The drawing(s) filed on is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).  11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.							
Priority un	der 35 U.S.C. § 119						
a)1 2 3	cknowledgment is made of a claim for foreign    All   b)   Some * c)   None of:   Certified copies of the priority documents   Certified copies of the priority documents   Copies of the certified copies of the prior   application from the International Bureau   te the attached detailed Office action for a list of	s have been received. s have been received in Applicati- ity documents have been received (PCT Rule 17.2(a)).	on No  ed in this National	Stage			
Attachment(s	5)						

Notice of References Cited (PTO-892)
 Notice of Draftsperson's Patent Drawing Review (PTO-948)
 Information Disclosure Statement(s) (PTO/SE/DE)

Paper No(s)/Mail Date \_\_\_\_\_

 Interview Summary (PTO-413)
 Paper No(s)/Mail Date. \_\_\_\_\_. 5) Notice of Informal Patent Application

6) Other: \_\_\_

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#### DETAILED ACTION

# Amendment dated 4/22/05 cancelled claims 1-20 and added claims 21-44.

### Election/Restrictions

1. Restriction is required under 35 U.S.C. 121 and 372.

This application contains the following inventions or groups of inventions which are not so linked as to form a single general inventive concept under PCT Rule 13.1.

In accordance with 37 CFR 1.499, applicant is required, in reply to this action, to elect a single invention to which the claims must be restricted.

Group I claim(s) 21-41, and 44 are, drawn to composition claims.

Group II claim(s) 42-43 are, drawn to method and kit

The inventions listed as Groups I-II do not relate to a single general inventive concept under PCT Rule 13.1 because, under PCT Rule 13.2, they lack the same or corresponding special technical features for the following reasons:

As set forth in Rule 13.1 of the Patent Cooperation Treaty (PCT), "the international application shall relate to one invention only or to a group of inventions." Moreover, as stated in Rule 13.2 PCT, Unity of Invention is satisfied "where a group of inventions is claimed in one and the same international application, the requirement of unity of invention referred to in Rule 13.1 shall be fulfilled only when there is a technical relationship among those inventions involving one or more of the same or corresponding special technical features. The expression "special technical features" shall mean those technical features that define a contribution which each of the claimed inventions, considered as a whole, makes over the prior art so linked as to form a single general inventive concept." The instant composition Claim 21 does not present a contribution over the prior art. Claim 21 lacks novelty step. Claim 21 is anticipated by U. S. Patent 4,900,545 ('545). See claim 1 of patent, ingredient B is the monosaccharide and see claim 10 of patent for arabinose and this reads on claimed C3-C5 monosaccharide and ingredient C of claim 1 of patent is polyvinyl pyrrolidone and this reads on claimed film forming agent claimed in the instant application. As a result, as currently presented, the instant composition claim does not share a special technical feature with the instant method of use claims and kit, as such, unity between the above Groups I - II is broken.

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This application contains claims directed to more than one species of the generic invention. These species are deemed to lack unity of invention because they are not so linked as to form a single general inventive concept under PCT Rule 13.1.

## The species under film-forming agent

Applicant is required, in reply to this action, to <u>elect a single species</u> to which the claims shall be restricted if no generic claim is finally held to be allowable. The reply must also identify the claims readable on the elected species, including any claims subsequently added. An argument that a claim is allowable or that all claims are generic is considered non-responsive unless accompanied by an election.

Upon the allowance of a generic claim, applicant will be entitled to consideration of claims to additional species which are written in dependent form or otherwise include all the limitations of an allowed generic claim as provided by 37 CFR 1.141. If claims are added after the election, applicant must indicate which are readable upon the elected species. MPEP § 809.02(a).

The claims are deemed to correspond to the species listed above in the following manner:
 or 27 or 29

The following claim(s) are generic: 21-24, 26, 28 and 30-44

4. The species listed above do not relate to a single general inventive concept under PCT Rule 13.1 because, under PCT Rule 13.2, the species lack the same or corresponding special technical features for the following reasons: the species belonging to film-forming polymer do not relate to single general inventive concept under PCT rule 13.1 for the reasons stated above.

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5. This application contains claims directed to more than one species of the generic invention. These species are deemed to lack unity of invention because they are not so linked as to form a single general inventive concept under PCT Rule 13.1.

## The species under saccharide compound

Applicant is required, in reply to this action, to <u>elect a single species</u> to which the claims shall be restricted if no generic claim is finally held to be allowable. The reply must also identify the claims readable on the elected species, including any claims subsequently added. An argument that a claim is allowable or that all claims are generic is considered non-responsive unless accompanied by an election.

Upon the allowance of a generic claim, applicant will be entitled to consideration of claims to additional species which are written in dependent form or otherwise include all the limitations of an allowed generic claim as provided by 37 CFR 1.141. If claims are added after the election, applicant must indicate which are readable upon the elected species. MPEP \$ 809.02(a).

The claims are deemed to correspond to the species listed above in the following manner:
 or 34

The following claim(s) are generic: 21-31, 33, 35-44

7. The species listed above do not relate to a single general inventive concept under PCT Rule 13.1 because, under PCT Rule 13.2, the species lack the same or corresponding special technical features for the following reasons: the species belonging to film-forming polymer do not relate to single general inventive concept under PCT rule 13.1 for the reasons stated above.

Applicant is cautioned that the election of a particular species wherein the elected specie(s) is/are not adequately disclosed or supported by the accompanying specification, may raise an issue of new matter under the written description requirement of 35 U.S.C. 112. first paragraph.

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Due to complexity of the action, examiner submitted Election Requirement in writing in lieu of calling applicants' attorney.

Applicant is reminded that upon the cancellation of claims to a non-elected invention, the inventorship must be amended in compliance with 37 CFR 1.48(b) if one or more of the currently named inventors is no longer an inventor of at least one claim remaining in the application. Any amendment of inventorship must be accompanied by a request under 37 CFR 1.48(b) and by the fee required under 37 CFR 1.17(i).

The examiner has required restriction between product and process claims. Where applicant elects claims directed to the product, and the product claims are subsequently found allowable, withdrawn process claims that depend from or otherwise require all the limitations of the allowable product claim will be considered for rejoinder. <u>All</u> claims directed to a nonelected process invention must require all the limitations of an allowable product claim for that process invention to be rejoined.

In the event of rejoinder, the requirement for restriction between the product claims and the rejoined process claims will be withdrawn, and the rejoined process claims will be fully examined for patentability in accordance with 37 CFR 1.104. Thus, to be allowable, the rejoined claims must meet all criteria for patentability including the requirements of 35 U.S.C. 101, 102, 103 and 112. Until all claims to the elected product are found allowable, an otherwise proper restriction requirement between product claims and process claims may be maintained. Withdrawn process claims that are not commensurate in scope with an allowable product claim will not be rejoined. See MPEP § 821.04(b). Additionally, in order to retain the right to rejoinder in accordance with the above policy, applicant is advised that the process claims should be amended during prosecution to require the limitations of the product claims. Failure to do so

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may result in a loss of the right to rejoinder. Further, note that the prohibition against double patenting rejections of 35 U.S.C. 121 does not apply where the restriction requirement is withdrawn by the examiner before the patent issues. See MPEP § 804.01.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to JYOTHSNA A. VENKAT whose telephone number is 571-272-0607. The examiner can normally be reached on Monday-Friday, 10:30-7:30:1st Friday off.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, MICHAEL WOODWARD can be reached on 571-272-8373. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/JYOTHSNA A VENKAT / Primary Examiner, Art Unit 1619